

COPY OF PAPERS  
ORIGINALLY FILED

LAW OFFICES

JC10 Rec'd PCT/PTO 0 4 SEP 2001

~~Receipt~~  
~~Receipt~~  
PCT

LERNER, DAVID, LITTENBERG, KRUMHOLZ & MENTLIK, LLP

600 SOUTH AVENUE WEST

WESTFIELD, NEW JERSEY 07090-1497

(908) 654-5000

FAX: (908) 654-7866

www.ldlkm.com

PATENTS, TRADEMARKS AND COPYRIGHTS

August 30, 2001

SIDNEY DAVID  
JOSEPH S. LITTENBERG  
ARNOLD H. KRUMHOLZ  
WILLIAM L. MENTLIK  
JOHN R. NELSON  
ROY H. WEPNER  
STEPHEN B. GOLDMAN  
CHARLES P. KENNEDY  
PAUL H. KOCHANOSKI  
MARCUS J. MILLET  
BRUCE H. SALES  
ARNOLD B. DOMPIERI  
KEITH E. GILMAN  
ROBERT B. COHEN  
MICHAEL H. TESCHNER  
GREGORY S. GEWIRTZ  
JONATHAN A. DAVID  
SHAWN P. FOLEY\*  
JEFFREY S. DICKEY

THOMAS M. PALISI  
STEPHEN F. ROTH  
KIMBERLY V. PERRY  
RENÉE M. ROBESON  
LYNNE A. BORCHERS\*  
MICHAEL J. DOHERTY  
MATTHEW B. DERNIER  
J. KIRKLAND DOUGLASS  
JEANNE P. VALLEBUONA  
EDWARD D. PERGAMENT  
FRANK J. CHESKY II  
WILLIAM SMITH  
TIMOTHY J. LUBECKI\*  
TIMOTHY J. BORTREE  
LANCE Y. LIU

OF COUNSEL  
LAWRENCE I. LERNER  
DANIEL H. BOBIS  
RAYMOND W. AUGUSTINA  
HARVEY L. COHEN

\*NORTH CAROLINA BAR ONLY  
\*NEW YORK BAR ONLY  
\*PENNSYLVANIA BAR ONLY

Commissioner For Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

Re: Our File: SONYJP 3.3-126  
Appln. No. 09/856,039  
Filing Date: August 3, 2001  
Group Art Unit: 2176

RECEIVED

NOV 07 2002

Technology Center 2100

Dear Sir:

We are enclosing herewith copies of the filing receipt and executed Declaration for the above-identified patent application.

Please note that the **Title** contains two (2) errors. First, please DELETE the comma (,) between "apparatus" and "and". Also, please change the eighth (8<sup>th</sup>) word to read --RECEIVING--, not "reception."

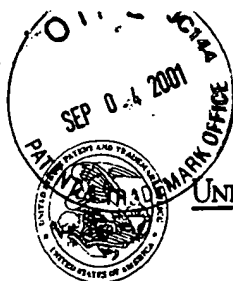
Kindly issue a corrected filing receipt for the above-identified application as soon as possible.

Sincerely yours,

LERNER, DAVID, LITTENBERG,  
KRUMHOLZ & MENTLIK, LLP

JOHN R. NELSON  
Reg. No. 26,573

JRN:ls  
Enclosures  
326366\_1.DOC



COPIES OF PAPERS  
ORIGINALLY FILED

# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/856,039	08/03/2001	2176	3384	SONYJP- 3.3126	15	73	21

CONFIRMATION NO. 3628

## FILING RECEIPT



\*OC000000006450333\*

Lerner David Littenberg  
Krumholz & Mentlik  
600 South Avenue West  
Westfield, NJ 07090

Date Mailed: 08/20/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

### Applicant(s)

Hiroshi Usuda, Ibaraki, JAPAN;

### Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/JP00/06357 09/18/2000

### Foreign Applications

JAPAN P11-263761 09/17/1999

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

### Title

Data distribution method and apparatus, and data reception method and apparatus

### Preliminary Class

RECEIVED

NOV 07 2002

Technology Center 2100

RECEIVED

AUG 24 2001

LDLK&M

707

---

Data entry by : NGUYEN, SON

Team : OIPE

Date: 08/20/2001



**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**COPY OF PAPERS  
ORIGINALLY FILED**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231